

i A Legal Entity is any person or persons other than a natural person. Please refer to the Appendix for definitions of terms used in this form.

1. Legal Entity Details

Name of Entity	Country of Tax Residence
Tax Identification Number (TIN) or functional equivalent	If a TIN is unavailable please provide the appropriate reason
Registered Address	Operating Address <i>(if different to Registered Address)</i>
Name of contact person	Telephone number <i>(including country code)</i>
Email address	

2. Legal Entity Type

Limited Holding Company
 Limited Non Holding Company
 Foundation
 Corporate Trustee
 Nominee Company
 Fiduciary Company
 Family Trust
 Charity
 Not for Profit Organisation
 Public Listed Company
 Self-Invested Personal Pension (SIPP) / Qualifying Recognised Overseas Pension Scheme (QROPS)
 Clubs and Societies
 Other _____

Legal Entity Identifier *(if applicable)* _____

All Trading Names <i>(if applicable)</i>	Type of Business/Nature of Industry	
Primary industry code	When was company established?	Number of employees
Geographical reach including significant countries of Operation		
Main types of customers	Main suppliers and their countries of operation	
What is the annual turnover and in what currency?		

Registration Status

Active
 Inactive
 Dormant
 In Liquidation
 Under Incorporation
 Other *(please specify)* _____

Total Source of Wealth, please specify where all Source of Wealth is generated from and not only the Premium investment *(more than one box may be ticked)*

Savings from income
 Savings from investments
 Sale of investments
 Sale of property
 Sale of business
 Inheritance
 Gift
 Loan
 Other *(e.g. court settlement/award)* _____

What is the purpose and nature of the business relationship *(why did you buy this policy)*?

3. Legal Entity Categorisation

You must select one entity type from the list below:

- Active Non-Financial Entity (NFE)
 Passive Non-Financial Entity (NFE)
- Financial Institution, Please provide a Global Intermediary Identification Number (GIIN)
- GIIN _____

If no GIIN is available please state reason:

- Entity is an Exempt Beneficial Owner
- Other, please specify and provide a W-8BEN-E available from the US Internal Revenue Service (IRS)

- Governmental Organisation
 Charity
- Other If other please specify and provide a W-8BEN-E available from the US Internal Revenue Service (IRS)

4. Ownership and Control

Is there any natural person(s) who ultimately owns OR controls the entity directly or indirectly? Yes No

If **'Yes'**, please fill in the beneficial owner details for each beneficial owner (*ownership or control exceeding 25%*) below.

If **'No'**, please fill in the details of the **natural person** that holds the position of the Senior Managing Official (*e.g. CEO or Chairman*) who will be considered to be the Beneficial Owner.

Verification Documents for each beneficial owner must be attached¹

NOTE: Complex Ownership Structure

If the ownership or control is exercised (in whole or in part) via other legal entities please provide an organisational chart with this form (signed by an authorised signatory), clarifying the ownership structure and control structure, indicating at each level any ownership of the entity exceeding 25%, continuing until no further ownership exceeding 25% exists. State the entity name, registration number, address (including country) and ownership in percentage (%) of the relevant entities. If no natural person is identified as a beneficial owner, please provide details of the natural person(s) who hold position of Senior Managing Official(s).

Beneficial Owner 1 (or senior managing official)

Name	Middle name	Social Security Number	
Citizenship (<i>please list all of your citizenships</i>)		Date of Birth	Ownership or control in %
Address (<i>street or equivalent</i>)			
Address (<i>postal code, city</i>)		Address (<i>country</i>)	
Country of Tax Residence (<i>please use a separate sheet if more than one</i>)		Tax Identification Number (<i>please give reason if none and please use a separate sheet if more than one</i>)	
Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If 'Yes' , please provide the reasons: (<i>please refer to the Anti Money Laundering Guidelines for examples</i>)			
Relationship to PEP (<i>e.g. Self, Husband, Wife etc.</i>)		PEP Function (<i>e.g. diplomat</i>)	
Is the Beneficial Owner a US citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Is the Beneficial Owner a US resident for tax purposes? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If the answer to either of these questions is 'Yes' , please provide the US Tax Identification Number (TIN) _____			

Beneficial Owner 2

Name	Middle name	Surname	Social Security Number
Citizenship (<i>please list all of your citizenships</i>)		Date of Birth	Ownership or control in %
Address (<i>street or equivalent</i>)			
Address (<i>postal code, city</i>)		Address (<i>country</i>)	

¹Documents acceptable for this purpose are described in the "Anti Money Laundering Guidelines for Individuals" issued by SEB Life International and available on request from sales@seb.ie and at www.seb.ie.

Country of Tax Residence <i>(please use a separate sheet if more than one)</i>	Tax Identification Number <i>(please give reason if none and please use a separate sheet if more than one)</i>
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Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP? Yes No
 If 'Yes', please provide the reasons: *(please refer to the Anti Money Laundering Guidelines for examples)*

Relationship to PEP <i>(e.g. Self, Husband, Wife etc.)</i>	PEP Function <i>(e.g. diplomat)</i>
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Is the Beneficial Owner a US citizen?* Yes No

Is the Beneficial Owner a US resident for tax purposes?* Yes No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)** _____

Beneficial Owner 3

Name	Middle name	Surname	Social Security Number
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Citizenship <i>(please list all of your citizenships)</i>	Date of Birth	Ownership or control in %
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Address *(street or equivalent)*

Address <i>(postal code, city)</i>	Address <i>(country)</i>
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Country of Tax Residence <i>(please use a separate sheet if more than one)</i>	Tax Identification Number <i>(please give reason if none and please use a separate sheet if more than one)</i>
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Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP? Yes No
 If 'Yes', please provide the reasons: *(please refer to the Anti Money Laundering Guidelines for examples)*

Relationship to PEP <i>(e.g. Self, Husband, Wife etc.)</i>	PEP Function <i>(e.g. diplomat)</i>
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Is the Beneficial Owner a US citizen?* Yes No

Is the Beneficial Owner a US resident for tax purposes?* Yes No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)** _____

Beneficial Owner 4

Name	Middle name	Surname	Social Security Number
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Citizenship <i>(please list all of your citizenships)</i>	Date of Birth	Ownership or control in %
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Address *(street or equivalent)*

Address <i>(postal code, city)</i>	Address <i>(country)</i>
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Country of Tax Residence <i>(please use a separate sheet if more than one)</i>	Tax Identification Number <i>(please give reason if none and please use a separate sheet if more than one)</i>
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Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP? Yes No
 If 'Yes', please provide the reasons: *(please refer to the Anti Money Laundering Guidelines for examples)*

Relationship to PEP <i>(e.g. Self, Husband, Wife etc.)</i>	PEP Function <i>(e.g. diplomat)</i>
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Is the Beneficial Owner a US citizen?* Yes No

Is the Beneficial Owner a US resident for tax purposes?* Yes No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)** _____

5. Data Protection

I/we hereby consent to: (a) SEB Life International holding, processing and using information and Personal Data in the manner outlined in the Privacy Policy and (b) the processing and use of such information and Personal Data by those persons to whom it is disclosed. If I/we have provided to SEB Life International any information or personal data concerning any party other than me/us, I/we hereby confirm that I/we (i) have provided a copy of the Privacy Policy to them; and (ii) am/are in compliance with all data protection requirements applicable to me/us. If the undersigned is a corporate entity the person(s) signing for same is (are) hereby deemed to represent, warrant and undertake that such person(s) is or are duly authorised to do so.

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
Signature by authorised signatory			

X

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
Signature by authorised signatory			

Signature by authorised signatory

X

6. Declaration and Signature

We hereby confirm that the above stated information is true and complete. In case of any changes to the information provided in this form, we undertake to inform SEB Life International of said changes without delay. We have read and understood the Privacy Policy and understand that information provided in this form, including information relating to beneficial owners, will be used by SEB Life International in accordance with all relevant regulations, including tax reporting purposes.

I understand my electronic signature of this documentation has the same legal effect as an original hand-written signature and is evidence of my consent and intent to be legally bound by the Policy terms and conditions.

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
Signature by authorised signatory			

Signature by authorised signatory

X

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
Signature by authorised signatory			

Signature by authorised signatory

X

Appendix Definitions

TIN (Tax Identification Number)

A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an entity and used to identify the individual or entity for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN and provide a functional equivalent instead.

Beneficial Owners

Beneficial owner is a natural person who ultimately controls the entity by direct or indirect ownership or control over more than 25% of the shares (capital, ownership and equivalent) or voting rights given by the shares or who otherwise controls the entity. The beneficial owner may use their control either directly or indirectly via one or more other entities.

NFE

The term "NFE" means any entity that is not a Financial Institution.

Active NFE

An NFE is an Active NFE if it meets any one of the criteria listed below:

- publicly traded NFEs;
- Governmental Entities, International Organisations, or their wholly owned entities;
- holding NFEs that are members of a non-financial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centres that are members of a non-financial group;
- non-profit NFEs;
- active NFEs by reason of income and assets*

*less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.

Passive NFE

An NFE is a Passive NFE where it is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution is also treated as a Passive NFE.

Financial Institution

A Financial Institution is an entity that

- accepts deposits in the ordinary course of a banking or similar business,
- as a substantial portion of its business holds financial assets for the account of others, or
- is engaged primarily in the business of investing, re-investing, or trading in securities, partnership interests, commodities or any interest in such instruments.

The term Financial Institution generally includes banks, brokers/dealers, fund managers, nominee companies, global custodians, collective investment schemes, platforms, trust companies, trusts that are "professionally managed", insurance companies, hedge funds, personal investment companies and private equity funds.

Exempt Beneficial Owner

This is an entity that has no registration or reporting responsibilities under FATCA. The most common examples of an exempt beneficial owner are Pension Trust, government organisation, Foreign governments, Central Banks, e.g. the Bank of England and International Organisations such as the IMF and the World Bank

Politically Exposed Persons, PEP

Politically Exposed Persons (PEPs) are individuals who are or have been entrusted with prominent public functions, their immediate family members, or known close associates.

PEPs are seen to pose a higher risk of money laundering than non-PEPs as their position potentially leaves them more vulnerable to corruption (bribery, embezzlement etc.). PEP status itself does not in any way incriminate individuals or entities; it does, however, put them into this higher risk category.

An individual ceases to be considered a PEP 18 months after he or she has left office.

Examples of **prominent public functions** include:

- a) heads of state, heads of government ministers and deputy or assistant ministers;
- b) members of parliament or similar legislative bodies;
- c) members of the governing bodies of political parties;
- d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions which are not subject to further appeal, except in exceptional circumstances;
- e) members of courts of auditors or of the boards of central banks;
- f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces;
- g) members of the administrative, management or supervisory bodies of State owned enterprises;
- h) directors, deputy directors and members of the board or equivalent function of an international organisation.

Note: No public function referred to in points (a) to (h) shall be understood as covering middle-ranking or more junior officials.

Family members include individuals who are related to a PEP either directly (blood relatives) or through marriage of similar (civil) forms of partnership.

Examples of **known close associates** include:

- Any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP;
- Any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP. If you belong to any of the above categories you are considered to be a Politically Exposed Person (PEP). SEB Life International is required to take steps to determine whether or not a customer (or a beneficial owner connected with the customer or service concerned) is a PEP, an immediate family member, or a close associate, of a PEP. As a result of some customers may be required to provide additional information and/or documentation to SEB Life International.