

**i** A Legal Entity is any person or persons other than a natural person. Please refer to the Appendix for definitions of terms used in this form.

## 1. Legal Entity Details

Name of Entity	Country of Tax Residence
Tax Identification Number (TIN) or functional equivalent	If no TIN exists please state reason:
Registered Address	Operating Address <i>(if different to Registered Address)</i>
Name of contact person	Telephone number <i>(including country code)</i>

## 2. Legal Entity Type

- Limited Company      Self-Invested Personal Pension (SIPP) / Qualifying Recognised Overseas Pension Scheme (QROPS)  
 Corporate Trustee      Nominee Company      Fiduciary Company      Family Trust      Charity  
 Not for Profit Organisation      Public Listed Company      Clubs and Societies  
 Other \_\_\_\_\_

Type of Business/Nature of Industry \_\_\_\_\_

## 3. Legal Entity Categorisation

You must select one entity type from the list below:

- Active Non-Financial Entity (NFE)      Passive Non-Financial Entity (NFE)  
 Financial Institution, Please provide a Global Intermediary Identification Number (GIIN)  
 GIIN \_\_\_\_\_  
 If no GIIN is available please state reason:  
 Entity is an Exempt Beneficial Owner  
 Other, please specify and provide a W-8BEN-E available from the US Internal Revenue Service (IRS)  
 \_\_\_\_\_  
 Governmental Organisation      Charity  
 Other     If other please specify and provide a W-8BEN-E available from the US Internal Revenue Service (IRS)  
 \_\_\_\_\_

#### 4. Ownership and Control

Is there any natural person(s) who ultimately owns OR controls the entity directly or indirectly? Yes  No

If 'Yes', please fill in the beneficial owner details for each beneficial owner (*ownership or control exceeding 25%*) below.

If 'No', please fill in the details of the **natural person** that holds the position of the Senior Managing Official (*e.g. CEO or Chairman*) who will be considered to be the Beneficial Owner.

Verification Documents for each beneficial owner must be attached<sup>1</sup>

##### Beneficial Owner 1 (or senior managing official)

Name	<input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth	Ownership or control in %
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Address (*street or equivalent*)

Address (*postal code, city*)

Address (*country*)

Country of Tax Residence (*please use a separate sheet if more than one*)

Tax Identification Number (*please give reason if none and please use a separate sheet if more than one*)

Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP?  Yes  No

If 'Yes', please provide the reasons: (*please refer to the Anti Money Laundering Guidelines for examples*)

Relationship to PEP (*e.g. Self, Husband, Wife etc.*)

PEP Function (*e.g. diplomat*)

Is the Beneficial Owner a US citizen?\*

Yes  No

Is the Beneficial Owner a US resident for tax purposes?\*

Yes  No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)**

(\*only required where the entity is a Passive NFE)

##### Beneficial Owner 2

Name	<input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth	Ownership or control in %
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Address (*street or equivalent*)

Address (*postal code, city*)

Address (*country*)

Country of Tax Residence (*please use a separate sheet if more than one*)

Tax Identification Number (*please give reason if none and please use a separate sheet if more than one*)

Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP?  Yes  No

If 'Yes', please provide the reasons: (*please refer to the Anti Money Laundering Guidelines for examples*)

Relationship to PEP (*e.g. Self, Husband, Wife etc.*)

PEP Function (*e.g. diplomat*)

Is the Beneficial Owner a US citizen?\*

Yes  No

Is the Beneficial Owner a US resident for tax purposes?\*

Yes  No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)**

(\*only required where the entity is a Passive NFE)

<sup>1</sup>Documents acceptable for this purpose are described in the "Anti Money Laundering Guidelines for Individuals" issued by SEB Life International and available on request from sales@seb.ie and at www.seb.ie.

#### 4. Ownership and Control

##### Beneficial Owner 3

Name	<input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth	Ownership or control in %
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Address (street or equivalent)

Address (postal code, city)

Address (country)

Country of Tax Residence (please use a separate sheet if more than one)

Tax Identification Number (please give reason if none and please use a separate sheet if more than one)

Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP?  Yes  No

If 'Yes', please provide the reasons: (please refer to the Anti Money Laundering Guidelines for examples)

Relationship to PEP (e.g. Self, Husband, Wife etc.)

PEP Function (e.g. diplomat)

Is the Beneficial Owner a US citizen?\*

Yes  No

Is the Beneficial Owner a US resident for tax purposes?\*

Yes  No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)**

(\*only required where the entity is a Passive NFE)

##### Beneficial Owner 4

Name	<input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth	Ownership or control in %
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Address (street or equivalent)

Address (postal code, city)

Address (country)

Country of Tax Residence (please use a separate sheet if more than one)

Tax Identification Number (please give reason if none and please use a separate sheet if more than one)

Is the Beneficial Owner a Politically Exposed Person (PEP) or related to a PEP?  Yes  No

If 'Yes', please provide the reasons: (please refer to the Anti Money Laundering Guidelines for examples)

Relationship to PEP (e.g. Self, Husband, Wife etc.)

PEP Function (e.g. diplomat)

Is the Beneficial Owner a US citizen?\*

Yes  No

Is the Beneficial Owner a US resident for tax purposes?\*

Yes  No

If the answer to either of these questions is 'Yes', please provide the **US Tax Identification Number (TIN)**

(\*only required where the entity is a Passive NFE)

##### NOTE: Complex Ownership Structure

If the ownership or control is exercised (in whole or in part) via other legal entities please provide an organisational chart with this form (signed by an authorised signatory), clarifying the ownership structure and control structure, indicating at each level any ownership of the entity exceeding 25%, continuing until no further ownership exceeding 25% exists. State the entity name, registration number, address (including country) and ownership in percentage (%) of the relevant entities.

#### 5. Declaration and Signature

We hereby confirm that the above stated information is true and complete. In case of any changes to the information provided in this form, we undertake to inform SEB Life International of said changes without delay. We have read and understood the Privacy Policy and understand that information provided in this form, including information relating to beneficial owners, will be used by SEB Life International in accordance with all relevant regulations, including tax reporting purposes.

I understand my electronic signature of this documentation has the same legal effect as an original hand-written signature and is evidence of my consent and intent to be legally bound by the Policy terms and conditions.

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
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Signature by authorised signatory

**X**

Name	Position (e.g. CEO, director, officer etc.)	Place	Date
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Signature by authorised signatory

**X**

### TIN (Tax Identification Number)

A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an entity and used to identify the individual or entity for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN and provide a functional equivalent instead.

### Beneficial Owners

Beneficial owner is a natural person who ultimately controls the entity by direct or indirect ownership or control over more than 25% of the shares (capital, ownership and equivalent) or voting rights given by the shares or who otherwise controls the entity. The beneficial owner may use their control either directly or indirectly via one or more other entities.

### NFE

The term "NFE" means any entity that is not a Financial Institution.

### Active NFE

An NFE is an Active NFE if it meets any one of the criteria listed below:

- publicly traded NFEs;
- Governmental Entities, International Organisations, or their wholly owned entities;
- holding NFEs that are members of a nonfinancial group;
- start-up NFEs;
- NFEs that are liquidating or emerging from bankruptcy;
- treasury centres that are members of a nonfinancial group;
- non-profit NFEs;
- active NFEs by reason of income and assets\*

*\*less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.*

### Passive NFE

An NFE is a Passive NFE where it is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution is also treated as a Passive NFE.

### Financial Institution

A Financial Institution is an entity that

- accepts deposits in the ordinary course of a banking or similar business,
- as a substantial portion of its business holds financial assets for the account of others, or
- is engaged primarily in the business of investing, re-investing, or trading in securities, partnership interests, commodities or any interest in such instruments.

The term Financial Institution generally includes banks, brokers/dealers, fund managers, nominee companies, global custodians, collective investment schemes, platforms, trust companies, trusts that are "professionally managed", insurance companies, hedge funds, personal investment companies and private equity funds.

### Exempt Beneficial Owner

This is an entity that has no registration or reporting responsibilities under FATCA. The most common examples of an exempt beneficial owner are Pension Trust, government organisation, Foreign governments, Central Banks, e.g. the Bank of England and International Organisations such as the IMF and the World Bank

### Politically Exposed Persons, PEP

A politically exposed person is a person who holds, or during the past 18 months has held, prominent public functions, or a family member or a known associate to such a person. For further details please refer to the "Anti Money Laundering Guidelines for Legal Entities".

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For further information on these terms, please refer to your local tax office or the Irish Revenue website [www.revenue.ie](http://www.revenue.ie).